PATENT APPLICATION

#10

CERTIFICATE OF FACSIMILE TRANSMISSION: I hereby certify that this paper,

for Applicant:

Michael Kloepfer

Serial No.:

09/754,705

Filed: For:

January 4, 2001 TRUCK/TRAILER BOX CONSTRUCTIONS

FAX RECEIVED

MAY 2 9 2002

PETITION'S OFFICE

is being facsimile-transmitted to the Patent and Trademark Office on the date

shown below:

Reg. No. 20,515

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit:

3612

Examiner:

Kiran B. Patel

Applicant:

Michael Kloepfer

Serial No.:

09/754,705

Filed:

January 4, 2001

For:

TRUCK/TRAILER BOX CONSTRUCTIONS

Date:

May 29, 2002

DECLARATION OF DELBERT J. BARNARD

BOX DAC

Commissioner for Patents Washington, D.C. 20231

- I. Delbert J. Barnard, hereby depose and say that:
- I am the attorney for the applicant in the above-identified application.
- On January 31, 2002, on behalf of the applicant, I filed a Supplemental Preliminary Amendment in this application (Exhibit A).
- On April 22, 2002, I received a telephone call from Examiner Patel. He called to question the filing of the



Supplemental Preliminary Amendment. He said that the application was allowed and a Notice of Allowability had been mailed out in October of 2001. I told Examiner Patel that we have never received a Notice of Allowance or any other paper indicating that the application has been allowed.

- 4. On January 31, 2002, I sent a Letter to the Patent and Trademark Office (Exhibit B) respecting the telephone conversation that I had with Examiner Patel on April 22, 2002. In this Letter I explained that we had received a Notice of Publication Fee Due that was dated January 2, 2002 and that we paid this fee on March 25, 2002. I stated in the Letter that the application file did not contain a Notice of Allowance or a Notice of Allowability.
- 5. On May 23, 2002, Leslie A. Moss, a paralegal in my office telephoned the Office of Patent Publication to find out the status of the application. The person to whom Leslie spoke stated that the application was "technically abandoned" for failure to pay the Issue Fee. Leslie A. Moss told her that we had never received a Notice of Allowance. In response, Leslie A. Moss was told to call the Petitions Office.
- 6. On May 24, 2002, Leslie A. Moss called the Petitions Office and spoke with John Gillon (703-305-9199). She explained that we had never received a Notice of Allowance. Mr. Gillon stated that we would need to file a Petition Under 37 C.F.R. 1.181 or 1.137(b) and should attach copies of the mail log showing the documents that we received about the time that we should have received the Notice of Allowance. We were asked to fax the Petition ASAP to 703-308-6916 and put on the cover sheet

"Attention: Contractor - Please Order File to Match Petition".

- 7. The firm of Barnard & Pauly, P.S. maintains a "PTO Log Book" in which each item received from the PTO is entered on the date that it is received.
- 8. Exhibit C is a collection of pages from the PTO Log Book for the days October 15, 2001 through November 30, 2001. These pages show that a Notice of Allowance was not received prior to November 30, 2001.
- 9. I have carefully examined the PTO Log Book and it does not include any entry showing that a Notice of Allowance was received at some time after November 30, 2001.
- 10. On May 28, 2002, we received a Supplemental Notice of Allowability and a copy of a Notice of Allowance and Issue Fee Due dated October 17, 2001. The Supplemental Notice of Allowability stated that the drawings that were filed on April 19, 2001 were accepted by the Examiner.
- 11. The undersigned declares further that all statements made herein of his own knowledge are true, and that all statements made on information and belief are believed to be true; and that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

May 29,2002

Delbert J. Barnard

cc:

AMENDMENT TRANSCOTTAL LETTER (Small Entity) Applicant(s): Michael Kloepfer					Docket No. Titan		
Serial No. 09/754,705		g Date ry 4, 2001	Examiner Patel		Group Art Unit 3612		
Invention: TRL	JCK/TRAILER BOX C	ONSTRUCTIONS					
TO THE ASSISTANT COMMISSIONER FOR PATENTS: Transmitted herewith is an amendment in the above-identified application. Small Entity status of this application has been established under 37 CFR 1.27 by a verified statement							
previously A verified	y submitted.	Small Entity statu	s under 37 FR 1.27 is e		inited distanting in		
CLAIMS AS AMENDED							
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST #	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE		
TOTAL CLAIMS	23 -	20 =		3 x \$9.0	50 \$27.00		
INDEP. CLAIMS	6 -	5 =		x \$42.	00 \$42.00		
Multiple Dependent Claims (check if applicable)					\$0.00		
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$69.00		
 No additional fee is required for amendment. □ Please charge Deposit Account No. in the amount of A duplicate copy of this sheet is enclosed. ☒ A check in the amount of \$69.00 to cover the filing fee is enclosed. ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 02-0915 A duplicate copy of this sheet is enclosed. ☒ Any additional filing fees required under 37 C.F.R. 1.16. ☒ Any patent application processing fees under 37 CFR 1.17. ☐ Dated: January 31, 2002 ☐ Cartify that this document and fee is being deposited on ∅ / 3 / 0 2 with the U.S. Postal Service as first class mall under 37 C.F.R. 1.8 and is addressed to the 							
Seattle, WA 9813 (206) 246-0568 (206) 243-4618 FA			Assistant C	ommissioner	for Patents, Washington, D.C. Mailing Correspondence Tolynaud		

Typed or Printed Name of Person Malling Correspondence

P11SMALL/REVOS

PATENT APPLICATION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents and Trademarks Washington, D.C. 20231

On April 25, 2002, by Delbert J. Barnard.

signature:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit:

3612

Examiner:

Patel

FAX RECEIVED

Applicant:

Michael Kloepfer

MAY 2 9 2002

Serial No.:

09/754,705

PETITION'S OFFICE

Filed:

January 4, 2001

Entitled:

TRUCK/TRAILER BOX CONSTRUCTIONS

Date:

January 31, 2002

LETTER

The Commissioner for Patents and Trademarks Washington, D.C. 20231

Sir:

On January 31, 2002, applicant filed a Supplemental Preliminary Amendment in this application.

On Monday, April 22, 2002, I received a telephone call from Examiner Patel. He called to question the filing of the Supplemental Preliminary Amendment. He said that the application was allowed and a Notice of Allowability was mailed out in October of 2001. I told Examiner Patel that we have never received a Notice of Allowance or any other paper indicating that the application has been allowed. Following my conversation with Examiner Patel, I checked the file and discovered that we had received a Notice of

Publication Fee Due that was dated January 2, 2002. I checked and we paid this fee on April 8, 2002.

It is noted that the Notice of Publication Fee Due states that " -- the application has been allowed -- ." The Notice of Publication Fee Due was the only paper found in the file that was received by us since October of 2001. The file does not contain a Notice of Allowance or a Notice of Allowability.

The purpose of this letter is to make a written record of the telephone conversation that I had with Examiner Patel on Monday, April 22, 2002.

Respectfully submitted:
Michael Kloepfer

Delbert J. Barnard, Reg. No. 20,515

Barnard & Pauly, P.S.

P.O. Box 58888

Seattle, WA 98138-1888

Telephone: (206) 246-0568 Facsimile: (206) 243-4618 nce 10/15/01 PTI TM 76/073,975

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